

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Ramirez & Co., Inc.			
Name of Financial Institution			
61 Broadway	New York	NY	10006
Street Address	City	State	Zip Code
Vincent Mazzaro, Chief Operating Officer			
Contact Person Name and Title			
212-248-0552	vincent.mazzaro@ramirezco.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No **Please see attachment for a complete response*
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

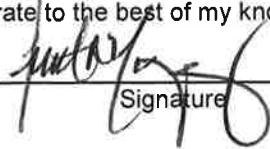
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

**Please see attachment for a complete response*

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Vincent Mazzaro	6/20/2017
Print Name, Title	Date


Signature

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Catholic Education Foundation	Education & Literacy	\$ 300.00
Loyola Marymount University	Education & Literacy	\$ 5,000.00
Rotary Club of Los Angeles	Human Rights & Public Advocacy	\$ 5,000.00
Southwest Voter Registration Education Project	Voter Rights	\$ 2,500.00
The United Way of Greater LA	Housing	\$ 500.00
Union Rescue Mission	Homelessness	\$ 500.00

Additional Response to Question #2

The Firm has not provided any scholarship awards to residents of the City. The Firm has employed a City of Los Angeles resident through a paid internship and recently hired the individual to a full-time position.

Additional Response to Question #3

The Firm does not maintain an official policy. As a minority owned business, we are fully committed to the utilization of minority owned businesses in our business practices.